



INITIATION PLAN FOR A GEF PROJECT PREPARATION GRANT (PPG)

Country: Russia

UNDAF Outcome(s)/Indicator(s):

(Link to UNDAF outcome., If no UNDAF, leave blank)

Expected Outcome(s)/Indicator (s):

Improved environmental sustainability of development processes /

Environmental dimension in development policy

(CP outcomes linked t the SRF/MYFF goal and service line)

Expected Output(s)/Indicator(s):

Energy efficiency measures are incorporated into local development strategies

(CP outcomes linked t the SRF/MYFF goal and service line)

Programme Period: 2008-2010
Programme Component: Energy and environment
PPG Title: **Greening 2014 Sochi Olympics: A Strategy and an Action Plan for Greening Legacy**
ATLAS Award: 00058329
ATLAS Project ID: 00072416
PIMS Project ID: **4320**
Duration: September 2009 – January 2010
Management Arrangement: UNDP CO execution

Total budget:	US\$125,000
Allocated resources:	
• GEF	US\$55,000
• Regular	
• Other:	
• In kind contributions Government of Russia	US\$70,000

AGREED BY UNDP RESIDENT REPRESENTATIVE / UNDP DIRECTOR:

Name, position

Signature

Date: (Month, day, year)

*Mr. Frode Muring, Resident
Representative, UNDP Russia*

Section I. Brief Description of Initiation Plan

(one paragraph narrative)

The objective of this Project Preparation Grant (PPG) is to develop a medium-sized project document for a UNDP/GEF project in Climate Change focal area promoting energy efficiency and low-carbon technologies in the Russian Federation by greening Sochi 2014 Olympic Games. PPG proposal approved by the GEF Secretariat is presented in the Section II. below.

UNDP Russia will lead the project development process and manage the PPG budget. The PPG Atlas budget is presented in the Section III. "Total Budget and Work Plan". UNDP Russia will be responsible for the selection and recruitment of PPG consultants (local and international), developing TORs, arranging travel and meetings, maintaining project disbursements. A tentative list of local and international consultants to be financed by the PPG is attached to the PPG proposal.

Quality assurance and technical advice for the full project development will be provided by the UNDP/GEF Regional Unit (Bratislava).

PPG Steering Committee will be established to review and endorse PPG outcomes. The Ministry of natural resources and environment of the Russian Federation will chair the Steering Committee. Regular consultations (working meetings) will be carried out among key PPG participants (Ministry of natural resources and environment of the RF, State Corporation "Olympstroy", National Organization Committee for the Sochi Olympics and others) to plan for the project preparation activities, to review the TORs for key experts/consultants and analyze information gaps.

GEF PPG approved grant document and TBWP (see Section II. and Section III.)

Section II. GEF PPG approved grant document



REQUEST FOR PROJECT PREPARATION GRANT (PPG)
PROJECT TYPE: MEDIUM SIZED PROJECT
THE GEF TRUST FUND

Submission date: 21 July 2009

GEF PROJECT ID¹: 4030

GEF AGENCY PROJECT ID: 4320

COUNTRY(IES): Russian Federation

PROJECT TITLE: Greening 2014 Sochi Olympics: A Strategy and Action Plan for the Greening Legacy

GEF AGENCY(IES): UNDP

OTHER EXECUTING PARTNER(S): Ministry of natural resources and environment of the RF

GEF FOCAL AREA(s): Climate Change

GEF-4 STRATEGIC PROGRAM(S): CC-1, CC-3, CC-5(see preparation guidelines section on exactly what to write)

NAME OF PARENT/PROGRAM/UMBRELLA PROJECT (if applicable): N/A

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	August 2009
Completion date of PPG	December 2009

B. PAST PROJECT PREPARATION ACTIVITIES (\$) N/A

List of Past Project Preparation Activities	Output of the Activities	Project Preparation Amount (a)	Co-financing (b)	Total c = a + b
Total Project Preparation Financing				

C. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

The PPG will finance the following activities aimed at the development of the MSP proposal:

1. Baseline analysis, stock-taking of infrastructure development plans and of the other national preparatory activities for the Olympic Games.
 - 1.1. Review of infrastructure development plans, power planning and suggested technical solutions for the Sochi Olympics.
 - 1.2. Assessment of current knowledge on and mainstreaming of climate change mitigation agenda into Olympics planning and investments.
 - 1.3. Review and assessment of existing regulatory framework (national, regional, local, corporate) and regulatory gaps related to planning and implementation of project activities – building norms, energy efficiency incentives, environmental regulations, etc.
 - 1.4. Stakeholder assessment and analysis, capacity building needs assessment, stakeholder

¹ Project ID number will be assigned by GEFSEC. If PIF has already been submitted, please use the same ID number as PIF.

involvement plan and capacity building plan.
 1.5. Stock-taking of available national and international best practices on events greening.
 1.6. Review and stock-taking of on-going and planned awareness, advocacy and public engagement programmes for Olympics.
 1.7. Stock-taking and analysis of investment planning, financing framework and public-private partnerships for Olympics, co-financing consultations.

2. MSP project scoping, project strategy and costing.

2.1. Project strategy and project scoping.
 2.2. Cost effectiveness analysis and incremental costs analysis.
 2.3. Barrier and risks assessment and risk management strategy.
 2.4. Project costing and budget; co-financing framework.
 2.5. Assessment of GHG emission reduction potential; global environmental benefits, national and local benefits sought from the project.
 2.6. M&E framework, a set of measurable indicators.
 2.7. Project management, implementation and coordination arrangements, TORs for MSP consultants.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Project Preparation Amount (a)	Co-financing (b)	Total c = a + b
Baseline analysis; stock-taking of infrastructure development plans and of other preparatory activities for the Olympic Games	Baseline situation analysis; assessment of planning and regulatory framework; stakeholder assessment and involvement plan; capacity building plan.	20,000	50,000	70,000
MSP project scoping, project strategy and costing	MSP project document and CEO endorsement template incorporating a project strategy and M&E plan, cost effectiveness analysis, incremental costs assessment, GEF and co-financing budgets, barrier and risk analysis, GEB analysis, project implementation arrangements and TORs.	35,000	20,000	55,000
Total Project Preparation Financing		55,000	70,000	125,000

D. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
GEF financing	55,000	5,500
Co-financing	70,000	
Total	125,000	5,500

E. PPG REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹ N/A

GEF Agency	Focal Area	Country Name/ Global	(in \$)		
			PPG (a)	Agency Fee (b)	Total c = a + b
(select)	(select)				

(select)	(select)				
(select)	(select)				
Total PPG Requested					

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.

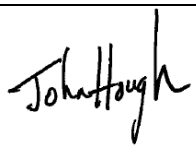
F. PPG BUDGET REQUEST

Cost Items	Total Estimated Person Weeks for GEF Grant (PW)	GEF (\$)	Co-financing (\$)	Total (\$)
Local consultants *	20	15,000	45,000	60,000
International consultants*	10	30,000		30,000
Travel		5,000	15,000	20,000
Miscellaneous (translation)		5,000	10,000	15,000
Total PPG Budget		55,000	70,000	125,000

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

G. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF criteria for project identification and preparation.

Agency Coordinator, Agency name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
John Hough UNDP/ GEF Officer-in-Charge		July 21, 2009	Marcel Alers, Principal Technical Advisor Climate Change Mitigation UNDP-BDP/EEG	+1-212-906-6199	marcel.alers@undp.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Position / Titles	\$/ Person Week¹	Estimated PWs²	Tasks to be performed
<u>Local</u>			
Infrastructure planning expert	750	7	<ul style="list-style-type: none"> • Review of the Sochi Olympic infrastructure development plans; • Review and assess power supply planning; • Analyze suggested and available technical and technological solutions for the Sochi Olympics. • Assess current knowledge on and mainstreaming of climate change mitigation agenda into Olympics planning and investments. • Assess existing regulatory framework (national, regional, local, corporate) and regulatory gaps: building norms, energy efficiency incentives, environmental regulations, tender procedures, etc; • Analyze investment plans, financing framework and public-private partnerships for Olympics, conduct co-financing consultations; • Compile PPG situation analysis; • Provide recommendations and inputs for the MSP strategy and scoping, in particular for components on green standards, energy efficiency and power planning, renewable energy and transport, including costing of proposed outputs. • Suggest MSP management, implementation and coordination arrangements; • Provide inputs for the TORs for MSP technical consultants.
Advocacy, awareness and stakeholder analysis expert	750	7	<ul style="list-style-type: none"> • Complete stakeholder assessment and stakeholder involvement plan; • Complete capacity building needs assessment and capacity building plan; • Compile information on available national and international best practices on events greening; • Review and analyze on-going and planned awareness, advocacy and public engagement programmes for Olympics; • Build partnerships with national NGOs and media; • Provide recommendations and inputs for the MSP strategy and scoping, in particular for components on public awareness and advocacy strategy and on carbon offsets program, including costing of proposed outputs. • Provide inputs for the TORs for MSP technical consultants.
GHG assessment expert	750	4	<ul style="list-style-type: none"> • Review baseline analysis report and infrastructure planning completed by the Infrastructure Planning Expert; • Complete GHG assessment for baseline and GEF

			<p>alternative scenario (early mainstreaming of CC mitigation agenda into planning and investments);</p> <ul style="list-style-type: none"> Assess global environment benefits sought from the project; propose and justify a proposed methodology for the GHG assessment based on the GEF guidelines; Provide recommendations for the carbon offsets programme for the MSP.
Other	750	2	Other experts might be engaged as needed during the PPG implementation
<u>International</u>			
Green standards and energy efficiency consultant	3,000	7	<ul style="list-style-type: none"> Review and present international best practice on international events greening; Review and present international best practice on green standards, energy efficiency and renewable energy technologies applicable for Sochi Winter Olympics; Based on the baseline analysis report by national experts propose MSP project strategy and project activities; M&E framework, plan and budget; Complete cost effectiveness analysis and incremental costs analysis Complete barrier and risks assessment and suggest a risk management strategy for the MSP; Based on the inputs from the national team complete project costing, GEF and co-financing budgets; Based on the inputs from national GHG assessment expert verify the assessment of GHG emission reduction potential and global environmental benefits analysis.
Carbon offsets and business engagement consultant	3,000	3	<ul style="list-style-type: none"> Share international experience and best practice on carbon offsets programmes, provide recommendations for the MSP activities; Provide recommendations on event greening and a strategy and criteria for the “carbon neutral” Olympic games; Provide inputs to GHG assessment as necessary; Provide lessons and recommendations for the MSP public and business engagement strategy and activities.

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task and corresponds to the dollar amount per person week in the previous column.

Section III. Total Budgets and Work Plans

Award ID:	00058329
Award Title:	PIMS 4320 CC PPG: Greening 2014 Sochi Olympics: A Strategy and an Action Plan for Greening Legacy
Business Unit:	RUS 10 (UNDP Russia)
Project Title:	PIMS 4320 CC PPG: Greening 2014 Sochi Olympics: A Strategy and an Action Plan for Greening Legacy
Project ID: PIMS no.4320	00072416
Implementing Partner (Executing Agency)	UNDP CO

GEF Outcome/Atlas Activity	Responsible Party/Implementing Agent	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount Year 1 (USD)	Total (USD)
OUTCOME 1: Baseline data collection and information gap analysis	UNDP	62000	GEF	71300	Local Consultants	15,000	15,000
				71600	Travel	5,000	5,000
					Total Outcome 1	20,000	20,000
OUTCOME 2: Project scoping and project strategy	UNDP	62000	GEF	71200	International consultants	30,000	30,000
				74500	Miscellaneous	5,000	5,000
					Total Outcome 4	35,000	35,000
PROJECT TOTAL						55,000	55,000

Summary of Funds: ²

GEF		\$	\$55,000
Government of Russia (in-kind)		\$	\$70,000
TOTAL		\$	\$125,000

² Summary table should include all financing of all kinds: GEF financing, cofinancing, cash, in-kind, etc. etc